



JC02 Rec'd PCT/PTO 16 JUN 2005
#3 PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Helmut Kinder**

Serial No.: **10 / 533,776**

Date Filed: **May 4, 2005**

Title: **Device and Method for the
Evaporative Deposition of a
Coating Material**

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Group Art Unit: **unassigned**

Examiner: **unassigned**

Atty Docket No.: **B31293US**

Mail Stop DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Signature

Wong J. So

Date

June 14, 2005

TRANSMITTAL LETTER

Enclosed for filing relating to the above-identified application are the following documents:

- ☒ Information Disclosure Statement;
- ☒ Form PTO-1449; and
- ☒ Return-receipt postcard.

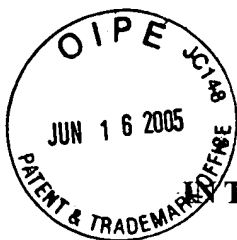
No fee is believed to be due with the submission of this document; however, the Commissioner is hereby authorized to charge Deposit Account No. 01-2511 for any underpayment of the fees.

Respectfully submitted,

JUNE 13, 2005
Date

Ray Ferrera

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ATTORNEY FOR APPLICANT



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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Submitted herewith are patents, publications or other information of which the undersigned is aware, which he believes may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56 it is not intended to constitute an admission that any patent, publication or other information referred to herein is in fact "material" or "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

This Information Disclosure Statement is filed within three months after the filing date of a national application, within three months of the date of entry of the national stage as set forth in

§1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

A list of the patent(s) and/or publication(s) is set forth on the attached Form PTO-1449. A copy of each item listed on PTO-1449 is not supplied herewith. A concise explanation of the items listed on PTO-1449 is given.

The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

June 13, 2005
Date

Ray Ferrera
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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of

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Complete if Known

Application Number	10/533,776
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Filing Date	May 4, 2005
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First Named Inventor	Helmut Kinder
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Art Unit	unknown
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Examiner Name	unknown
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Attorney Docket Number	B31293US
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U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)	MM-DD-YYYY			
		EP 09095775	04-08-1997	Chugai Ro Co...		
		EP 11086647	03-30-1999	Fujikura Ltd.		
		JP 9-95775				
		JP 61-195968				
		JP 61-3880				
		JP 2002-97566				

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Considered

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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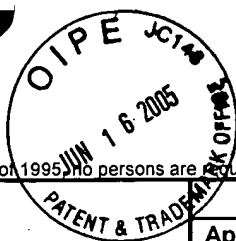
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ ~Number ⁴ ~Kind Code ⁵ (if known)				
		JP 2002-294479				
		DE 196 80 845	06-06-2002	Theva...		
		EP 0282839 B1	09-21-1988	International..		

Examiner Signature		Date Considered	
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Application Number	10/533,776
Filing Date	May 4, 2005
First Named Inventor	Helmut Kinder
Art Unit	unknown
Examiner Name	unknown
Attorney Docket Number	B31293US

Sheet 3 of 4

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		SOON-GUL LEE et al., Deposition angle-dependent morphology of laser deposited YBa ₂ Cu ₃ O ₇ thin films, 320 Applied Physics Letters, 65(1994) 8 August, No.6, Woodbury, NY, US.	
		MATTHEW F. DAVIS et al., Electron beam flash evaporation for YBaCuO and BiCaSrCuO thin films, 931 Journal of Applied Physics, 66(1989) 15 November, no. 10, NY, US.	

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